

13. ANTI-MATCH-FIXING AND SPORTS BETTING REGULATION

13.1 Purpose

- 13.1.1 SLSNZ is committed to maintaining the fundamental character of sporting competition as an honest test of skill and ability and to prevent Match-Fixing from undermining the integrity of Surf Life Saving.
- 13.1.2 In this Regulation, Match-Fixing involves improperly taking any action to influence the overall result, or any part of, a Surf Life Saving Competition for financial or other benefit; rather than solely for genuine medical or Sporting Tactical Reasons. It is usually associated with corrupt gambling practices such as placing Bets on arranged outcomes for financial return. However, Match-Fixing can also involve a range of associated activities. Match-Fixing activities prohibited under this Regulation are set out in clause 13.6 (Prohibited Conduct).
- 13.1.3 The purpose of this Regulation is:
- a. to protect and maintain the integrity of Surf Life Saving;
 - b. to protect Surf Life Saving against any efforts to improperly influence the result, or any aspect, of any Surf Life Saving Competition;
 - c. to establish uniform rules and a consistent scheme of enforcement and penalties
 - d. to generally align with the New Zealand Regulation on Sports Match-Fixing and Related Corruption developed by Sport New Zealand (Sport NZ);
 - e. to capture Match-Fixing as an offence;
 - f. not intended to capture as an offence, a situation where an athlete or team deliberately loses, underperforms or competes in a manner which the athlete or team intends will help obtain a more favourable sports outcome, for example, a more favourable draw in a competition. This type of activity may be regarded as “competing unfairly” (as defined in the SLSNZ Surf Sport Competition Manual) and/or constitute other forms of misconduct covered by the SLSNZ constitution which is referred to the Club of an Individual Member or the SLSNZ Judiciary Committee, in accordance with rule 27.3 of the SLSNZ Constitution.

- 13.1.4 This Regulation establishes anti-Match-Fixing rules applicable to a Surf Life Saving Competition. A Surf Life Saving Competition (as defined in the SLSNZ Constitution) means:
- a. any national, provincial, inter-Club or other Surf Life Saving competition, event and/or activity held by, or under the auspices of SLSNZ as set out in the SLSNZ yearly calendar; and;
 - b. any international competition, event or activity at which SLSNZ is represented.
- 13.1.5 Appendix F contains a flow-diagram of the key process steps of this Regulation. Text boxes are also included to give advice on implementing the Regulation. In brief, the enforcement process (not including processes of the New Zealand Police or other agencies) is as follows:
- a. information is investigated by an Integrity Officer (a person designated by SLSNZ)
 - b. the Integrity Officer forwards their report and recommendation to an Integrity Review Officer (a person designated by SLSNZ) who considers the material, together with material received from the person investigated and then:
 - c. decides whether or not low-level offences have been committed and, if so, imposes a warning and reprimand only; or
 - d. decides that no offences have been committed in which case the matter is at an end; or
 - e. decides whether the Integrity Officer is required to refer serious matters to an Integrity Tribunal.
 - f. the Integrity Tribunal (a panel appointed by SLSNZ) conducts hearings, makes decisions on matters referred to it and on any appeals against Integrity Review Officer decisions and imposes sanctions where appropriate.
 - g. there are some rights to appeal decisions of the Integrity Review Officer and the Integrity Tribunal.

13.2 Commencement Date

- 13.2.1 This Regulation shall take effect and come into force on 19 October 2015.

13.3 Status of Regulation

- 13.3.1 This Regulation is made by the Board of SLSNZ and may be amended by the Board, provided that, as a minimum, this Regulation is aligned, to an extent satisfactory to Sport NZ, with the following requirements in Sport NZ's model NSO Anti-Match-Fixing and Sports Betting Policy:
- a. the offences in clause 13.6;
 - b. the educational requirements in clause 13.7;
 - c. the sanctions associated with Prohibited Conduct listed in clause 13.17;

- d. including within the Relevant Persons identified in the attached Schedule 1, the minimum classes of persons as required by Schedule 1 of Sport NZ's model NSO Anti-Match-Fixing and Sports Betting Regulation.
- 13.3.2 The Board may, in its sole discretion, delegate any or all of its powers under this Regulation, including, but not limited to, the power to adopt, apply, monitor and enforce this Regulation
- 13.3.3 Conduct prohibited by this Regulation may also be a criminal offence and/or a breach of other applicable Surf Life Saving laws, rules or regulations. This Regulation is intended to supplement other laws, Surf Life Saving rules and regulations and does not replace, prejudice or undermine in any way the application of Surf Life Saving rules and regulations. People to whom this Regulation applies must comply with all applicable laws and regulations at all time.
- 13.3.4 Subject to clause 13,3,5, nothing in this Regulation, waives or limits the application of the Constitution, or any other Regulation to any conduct which is not Prohibited Conduct but may be related to, arise out of, or be connected with conduct that is Prohibited Conduct, for example "competing unfairly".
- 13.3.5 In the event that an allegation of Prohibited Conduct includes conduct which is also alleged to be a matter has been referred to the SLSNZ Judiciary Committee under the SLSNZ Constitution:
 - a. the Integrity Tribunal shall decide whether or not it has jurisdiction to hear and decide the allegation of Prohibited Conduct; and if it decides that it does;
 - b. the Integrity Tribunal may, with the agreement of the SLSNZ Judiciary Committee, hear both allegations by way of a joint hearing with the SLSNZ Judiciary Committee, but the Integrity Tribunal and SLSNZ Judiciary Committee shall each decide whether or not an offence has been committed and any sanction to be imposed in accordance with the respective applicable Constitution and/or regulations; or
 - b. the SLSNZ Judiciary Committee may adjourn the proceedings before it, until the conclusion of the proceedings before the Integrity Tribunal (including any appeals).
- 13.3.6 Nothing in this Regulation waives or limits the application of the International Life Saving Federation's rules and regulations in dealing with any conduct within its jurisdiction, including match fixing and betting. To the extent of any inconsistency between this Regulation and those of the International Life Saving Federation, the latter shall apply.
- 13.3.7 In the event that an allegation of Prohibited Conduct is related to, arises out of, or is in connection, with a Surf Life Saving Competition which is under the jurisdiction of the International Life Saving Federation (such as ILS World Championships), and the allegation is referred to the Disciplinary Committee of International Life Saving Federation, no proceedings (other than proceedings for a Provisional Suspension) shall be commenced before the Integrity Tribunal under this Regulation or the SLSNZ Judiciary Committee, until the conclusion of the proceedings before the ILS Disciplinary Committee (including any appeals).

13.4 Application of Regulation

- 13.4.1 This Regulation applies to, and is binding on, all “Relevant Persons”, listed in Schedule 1 of this Regulation.
- 13.4.2 By virtue of obligations arising in or through membership, employment or other agreement with SLSNZ, Relevant Persons are bound by and are required to comply with this Regulation.
- 13.4.3 To avoid any doubt, this Regulation does not apply to:
- a. persons who are not Relevant Persons;
 - b. persons who are Relevant Persons but do not have a membership, employment or agreement with SLSNZ or a Club; or
 - c. persons who are Relevant Persons and who have a membership, employment or agreement with SLSNZ or a Club but such relationship does not include an obligation to comply with this Regulation.

13.5 Definitions

- 13.5.1 The following words and phrases used in this Regulation shall mean as follows:

Attempt: Purposely engaging in an act(s) and/or omission(s) which are more than mere preparation and which constitute a real and substantial step(s) towards the commission of an offence under this Regulation, whether or not an offence was actually committed or whether or not in the circumstances it was possible to commit an offence.

Benefit or Reward: Any direct and/or indirect monetary and/or non-monetary bribe(s), gain(s), benefit(s), gift(s) and/or advantage(s), including, without limitation, winnings and/or potential winnings as a result of a Bet(s). This does not include official prize money and/or legitimate contractual payments authorised by SLSNZ for participating in Surf Life Saving Competitions, any services, sponsorship, endorsements and/or similar payments.

Bet: A bet, wager or other form of financial speculation.

Betting: Making, accepting, or laying a Bet, including (without limitation) activities commonly referred to as sports betting, such as fixed and running odds, totalisator/toto games, live betting, betting exchanges, spread betting, and other games offered by sports betting operators.

Board: Means the Board of SLSNZ.

Club means a Surf Life Saving club that is a member of SLSNZ.

Court of Arbitration for Sport (CAS): The Court of Arbitration for Sport (CAS), which is the court established by the International Olympic Committee to resolve sports related disputes and which operates under the Code of Sports Related Arbitration.

Chief Executive Officer: The Chief Executive Officer of SLSNZ.

Inside Information: Any information considered to be not available to or not known by the public relating to any Surf Life Saving Competition, team, athlete or any aspect connected with any of these, that a person has because of their position within SLSNZ or connection to SLSNZ. This could include, without limitation, such information as a Surf Life Saving team's actual or likely membership composition, the fitness of individual athletes, or planned tactics.

Integrity Officer: A person or persons designated to act as an Integrity Officer of SLSNZ.

Integrity Review Officer: A person designated to perform the role of Integrity Review Officer by SLSNZ.

Integrity Tribunal: The Tribunal comprising persons who are appointed by the Board of SLSNZ to sit on the Integrity Tribunal of SLSNZ.

.Competition Under the Jurisdiction of, or Connected to, SLSNZ: A Surf Life Saving Competition arranged, hosted or controlled by SLSNZ, or in which any **Relevant Person** of SLSNZ is participating, including any part of a Surf Life Saving Competition in which any **Relevant Person** is participating, whether any **Relevant Person** is participating in a particular part of the Surf Life Saving Competition or not. To avoid doubt, where a Surf Life Saving Competition is, for example, an international competition forming part of an international series of international races or competitions, all other races or competitions in that international competition are also covered by this Regulation (subject to clause 13.3.6) .

Match-Fixing: Improperly influencing or otherwise taking action which could cause the occurrence of a particular incident, outcome, result or anything else in a Surf Life Saving Competition, and for which any money, Benefit or Reward (including associated with a betting arrangement) is expected or received; other than solely for genuine medical or Sporting Tactical Reasons. While often associated with corrupt gambling practices, such as placing bets on arranged outcomes for financial return, it can also involve a range of associated activities.

Notice of Charge: Document initiating offence proceedings to be heard by the Integrity Tribunal.

Parties: The Parties to offence proceedings which may include, as appropriate, the Relevant Person, the Integrity Officer and/or SLSNZ officers and representatives of the Parties, if any.

Regulation: This Anti-Match-Fixing Regulation of SLSNZ.

Prohibited Conduct: Conduct which constitutes an offence under this Regulation as listed in clauses 13.6.2 – 13.6.5.

Provisional Suspension: An order made by the Integrity Tribunal, Sports Tribunal or CAS, which temporarily prohibits a Relevant Person from competing or participating in any Surf Life Saving Competition or other activities associated with SLSNZ, as set out in C4i of Appendix C, until the Integrity Tribunal, Sports Tribunal or CAS, makes a final decision regarding whether the Relevant Person has committed the offence(s) alleged under this Regulation.

Relevant Persons: Those persons and/or categories of persons as defined from time to time by the Board and who are listed in Schedule 1 of this Regulation.

Respondent: a Relevant Person investigated under this Regulation.

Sporting Tactical Reasons: Reasons for action taken in a Competition in situations which, by reference to clause 13.1.3f are not intended to be captured as offences in this Regulation. Such situations include, for example, where an athlete or team deliberately loses, underperforms or plays in a manner which the athlete or team intends will help obtain a more favourable sports outcome, for example, a more favourable draw in a competition.

Sport New Zealand or Sport NZ: Sport and Recreation New Zealand, the crown entity established under the Sport and Recreation Act 2002. This entity was formerly known as SPARC.

Sports Tribunal: The Sports Tribunal of New Zealand, which is an independent statutory entity, as continued under the Sports Anti-Doping Act 2006.

Substantial Help: In the context of clause 13.17.4a.vii, a Relevant Person provides Substantial Help if they: (1) fully disclose in a signed witness statement all information that they possess regarding offences under this Regulation, offences under other anti-Match-Fixing / anti-corruption regulations, offences relating to other professional rules and the commission of relevant criminal offences and (2) cooperate with the investigation and adjudication of any case related to that information, including, for example, presenting testimony at a hearing if requested to do so by SLSNZ or other relevant body. Further, the information provided must be credible and must comprise an important part of any case that is initiated or, if no case is initiated, must have provided a sufficient basis on which a case could have been brought.

Surf Life Saving Competition: A Surf Life Saving race, event, tournament, competition, league, series of races and/or competitions, including a one- off race or competition.

Suspension: A sanction set out in clause 13.7.5f. that may be imposed on a Relevant Person, who has committed an offence under this Regulation.

Table of Sanctions: The table set out at the end of clause 13.17.4.

13.6 Prohibited Conduct

13.6.1 This Regulation prohibits a Relevant Person engaging in the conduct and activities listed in this clause 13.6. Any Relevant Person who engages directly or indirectly, alone or in conjunction with another or others in the listed conduct and activities commits an offence in breach of this Regulation and will be subject to sanctions.

13.6.2 Corruption

No Relevant Person shall:

- a. fix, contrive, improperly influence or otherwise take action which could cause the occurrence of a particular incident, outcome, result or anything else in a Surf Life Saving Competition, and for which any money, Benefit or Reward (including associated with a Betting arrangement) is expected or received; other than solely for genuine medical or Sporting Tactical Reasons;
- b. pay or accept, or seek or offer or agree to pay or accept, any bribe or other reward to fix, contrive, or otherwise improperly influence the occurrence of a

particular incident, outcome, result or any other aspect in a Surf Life Saving Competition;

- c. fail, for any money, Benefit or Reward (including associated with a Betting arrangement), to perform as reasonably expected to their abilities in, or withdraw completely from, any Surf Life Saving Competition, other than solely for genuine medical or Sporting Tactical Reasons.

13.6.3 **Betting**

No Relevant Person shall Bet, gamble or enter into any other form of financial speculation on any Competition Under the Jurisdiction of, or Connected to, SLSNZ¹ ;

13.6.4 **Misusing Inside Information**

No Relevant Person shall:

- a. use, or provide to any person, Inside Information² where it might reasonably be expected the information could be used for Betting purposes;
- b. pay or accept, or seek or offer or agree to pay or accept, any bribe or other Benefit or Reward for Inside Information;
- c. To avoid doubt, this does not prevent the appropriate use or disclosure of Inside Information for genuine business or organisational purposes related to SLSNZ such as: communications between SLSNZ personnel for those purposes; necessary communications with other relevant sports sector personnel for those purposes; media interviews and other public commitments;
- d. To avoid doubt, nothing in clause 13.6.4a. is intended to prohibit any such disclosure made within a personal relationship (such as to a member of the Relevant Person's family) where it is reasonable for the Relevant Person to expect that such information can be disclosed in confidence and without being subsequently used for Betting, and where the person who is told the information is also told it cannot be shared with another person.

13.6.5 **General Offences**

No Relevant Person shall:

- a. receive, take an action with the expectation of receiving, or provide or offer to provide any Benefit or Reward (for example a gift) in circumstances that might reasonably give the impression that the Relevant Person is involved in, influenced by, or connected with any Prohibited Conduct;
- b. tamper with, obstruct, delay and/or destroy any evidence, potential evidence, documentation and/or information which may be relevant to a breach (actual or potential), and/or an investigation, under this Regulation;

¹ "Competition Under the Jurisdiction of, or Connected to, SLSNZ" is defined in clause 13.5.1

² "Inside Information" is defined in Appendix E.

- c. engage in any other form of corrupt conduct, as defined by SLSNZ, regarding any Surf Life Saving Competition Under the Jurisdiction of, or Connected to, SLSNZ.

13.6.6 Further offences relating to Prohibited Conduct in clauses 13.6.2 – 13.6.5

No Relevant Person shall:

- a. knowingly help with, cover up or otherwise be complicit in any Prohibited Conduct listed in clauses 13.6.2 – 13.6.5;
- b. induce, instruct, encourage or facilitate anyone to engage in any Prohibited Conduct listed in clauses 13.6.2 – 13.6.5 ;
- c. attempt to engage, or agree with any other person (whether a Relevant Person or not) to engage, in any Prohibited Conduct listed in clauses 13.6.2-13.6.5, whether or not such Attempt or agreement in fact resulted in the commission of an offence or breach under this Regulation or whether or not, in the circumstances, it was possible to commit such an offence or breach;
- d. engage in any conduct relating directly or indirectly to any Prohibited Conduct listed in clauses 13.6.2 – 13.6.5, which is prejudicial to the interests of SLSNZ or which brings the person engaging in the conduct, or SLSNZ, into disrepute.

13.6.7 Failure to disclose information or cooperate with investigations

No Relevant Person shall:

- a. fail to promptly disclose to SLSNZ full details of facts and circumstances of which they are aware, including any acts, incidents, approaches, invitations or threats relating to themselves or any other person regarding any Prohibited Conduct;
- b. fail to cooperate fully with any reasonable investigation carried out by SLSNZ regarding any alleged breach of this Regulation, including providing any requested information.

13.6.8 Circumstances not amounting to Prohibited Conduct

To avoid doubt, none of the measures in clause 13.6 or otherwise specified in this Regulation prevent:

- a. taking action solely for genuine medical or Sporting Tactical Reasons;
- b. investment in, or financial support for, a team or athlete in accordance with legitimate commercial practices.

13.6.9 Factors not relevant in determining whether an offence has been committed

The following factors are not relevant in determining whether an offence has been committed or not under this Regulation (although they may be relevant to the appropriate sanction to be imposed):

- a. the Relevant Person was not participating in the Surf Life Saving Competition to which the offence is alleged to relate;
- b. the nature or outcome of any Bets in issue;

- c. the outcome of the Surf Life Saving Competition in question or of any aspect of it on which Bets were made;
- d. whether or not any Benefit or Reward was actually given or received;
- e. whether or not the Benefit or Reward was intended for, given to, expected by, or received by, the Relevant Person or another associated person, for example a family member, friend or someone the Relevant Person wishes to benefit;
- f. whether or not any of the results in, or any aspect of, the Surf Life Saving Competition in question were (or could have expected to have been) affected by any act or omission in question.

13.6.10 **Other enforcement**

Clause 13.6 does not prevent enforcement of any other rules or the referral of Prohibited Conduct to the New Zealand Police or other law enforcement agencies

13.6.11 **Criminal offences**

Any information or reports on alleged Prohibited Conduct by a Relevant Person, or activity by anyone outside the sport, which the Integrity Officer or Integrity Review Officer considers may be a criminal offence, must be immediately referred, by the Integrity Officer, to the New Zealand Police (the Crime Regulation & Project Officer, National Criminal Investigations Group, Police National Headquarters, 180 Molesworth Street, P O Box 3017, Wellington, phone (04) 474 9499). The Integrity Officer must also inform the Chief Executive Officer and Board and the Chief Executive Officer of Sport NZ of this report to the New Zealand Police.

13.7 **Education**

- 13.7.1 SLSNZ must ensure that education about this Regulation and its application to Surf Life Saving, is provided to all Relevant Persons to enable them to clearly understand SLSNZ's requirements regarding Match-Fixing rules and to be aware of risk management strategies. Education about this Regulation:
- i. will be made available and provided to all Relevant Persons, the Board and SLSNZ management via SLSNZ website;
 - b. will, , be made available, via SLSNZ website, to as wide a range of persons as feasible including players, coaches, support staff, administrative staff, umpires, other officials and player agents;
 - c. will cover the content of SLSNZ's Anti-Match-Fixing Regulation and any other relevant information concerning Match-Fixing that SLSNZ considers appropriate;
 - d. will be updated regularly

13.8 Integrity Officer

- 13.8.1 SLSNZ will designate at least one Integrity Officer who will be responsible for matters relating to overseeing SLSNZ's anti-Match-Fixing measures, including performing functions and duties set out in this Regulation.
- 13.8.2 The Integrity Officer will be the Chief Executive, unless the Board decides otherwise.

13.9 Integrity Review Officer

- 13.9.1 An Integrity Review Officer will be responsible for functions set out in this Regulation, including reviewing and making decisions regarding material, reports, decisions, recommendations and submissions received from an Integrity Officer and/or a Relevant Person.
- 13.9.2 The Integrity Review Officer will be the Chairperson of the Board, unless the Board decides otherwise.
- 13.9.3 The Integrity Review Officer role and process is set out in Appendix A

13.10 Integrity Tribunal

- 13.10.1 The Board of SLSNZ shall from time to time appoint persons with appropriate skills and experience to sit on the SLSNZ Integrity Tribunal to consider allegations referred to it pursuant to this Regulation. Integrity Tribunal members will be appointed for such time and such purposes as the Board thinks fit.
- 13.10.2 The Board will appoint one of the Integrity Tribunal members as Chairperson.
- 13.10.3 Matters referred to the SLSNZ Integrity Tribunal shall be heard and decided in accordance with the Disciplinary Procedure and Process set out in Appendix C.

13.11 Burden and Standard of Proof

- 13.11.1 The Integrity Officer has the burden of establishing that an offence under this Regulation has been committed and/or that a Provisional Suspension is appropriate.
- 13.11.2 In offences determined by the Integrity Review Officer and in matters being heard by the Integrity Tribunal, the standard of proof required is that the Integrity Review Officer or Integrity Tribunal is *comfortably satisfied*. This standard of proof is greater than a balance of probability but less than proof beyond a reasonable doubt.
- 13.11.3 A Relevant Person found to have committed an offence is, in regard to some offences, eligible for the "Lower range of sanctions" as recorded in the Table of Sanctions but only if the Relevant Person establishes, on the balance of probability, the mitigating factor set out in clause 13.17.4a.xii. or 13.17.4a.xiii.

13.12 Reporting Process

- 13.12.1 It is fundamental to the operation of this Regulation that Relevant Persons or any other person associated with SLSNZ, report a suspected Match-Fixing breach to SLSNZ as soon as practicable.
- 13.12.2 Except as required below, a Relevant Person must promptly report to the Integrity Officer or ensure a report is made to the Integrity Officer of, any acts, incidents, approaches, invitations or threats relating to them or any other person regarding any Prohibited Conduct. This includes reporting that:
- a. the Relevant Person is interviewed as a suspect, charged, or arrested by the New Zealand Police regarding conduct that would amount to an allegation of Prohibited Conduct under this Regulation;
 - b. the Relevant Person is approached by another person to engage in conduct that is Prohibited Conduct;
 - c. the Relevant Person knows or reasonably suspects that another person has engaged in conduct, or been approached to engage in conduct, that is Prohibited Conduct;
 - d. the Relevant Person has received, or is aware or reasonably suspects that another person has received, actual or implied threats of any nature regarding past or proposed conduct that is Prohibited Conduct;
 - e. the Relevant Person is aware or reasonably suspects that there is deliberate avoidance in SLSNZ of acknowledging and acting on any Prohibited Conduct, in which case the report must be made to the Chief Executive Officer of Sport New Zealand.
- 13.12.3 If a Relevant Person wishes to report the Integrity Officer for involvement in conduct that is Prohibited Conduct under this Regulation, then the Relevant Person must report the conduct to the Chief Executive Officer of SLSNZ.
- 13.12.4 If the Relevant Person wishes to report the Chief Executive Officer of SLSNZ who is also the Integrity Officer, then the Relevant Person must report the conduct to the Chair of the Board.
- 13.12.5 If the Relevant Person wishes to report the Chief Executive Officer (who is also the Integrity Officer) and the Chair of the Board, then the Relevant Person must report the conduct to the Chief Executive Officer of Sport NZ.
- 13.12.6 Where a Relevant Person makes a report to the Chief Executive Officer, Chair of the Board, or Chief Executive Officer of Sport NZ as above, those persons to whom the report was made may refer the report to another Integrity Officer designated by SLSNZ. Where there is not another Integrity Officer, then the Chief Executive Officer, Chair of the Board, or Chief Executive Officer of Sport NZ may designate another person to fill that role. If the report relates to clause 13.12.2e, the Chief Executive Officer of Sport NZ will determine how to deal with the report and may, but is not required to, refer the report to an Integrity Officer.

- 13.12.7 A report by a Relevant Person may be made verbally or in writing. However, the Integrity Officer (or Chief Executive Officer or the Chair of the Board) must record the report in writing in a Match-Fixing incidents register within 48 hours of receiving it, and promptly forward a copy of that written record to
- i. the Chief Executive Officer where the notification was made to the Integrity Officer under clause 13.12.2
 - b. the Chair of the Board, where the notification was made to the Chief Executive Officer under clause 13.12.3
 - c. the Chief Executive of Sport NZ, where the notification was made to the Chair of the Board under clause 13.12.4.
- 13.12.8 Any report by a Relevant Person and any record of it under this clause will be dealt with confidentially by SLSNZ unless disclosure is otherwise required or permitted under this Regulation, by law, or if the allegation of the Prohibited Conduct has already been made public by the Relevant Person who made the report and SLSNZ considers that, in the circumstances, the reasons for disclosure outweigh the reasons for non-disclosure.
- 13.12.9 In particular, the Integrity Officer must use their best endeavours not to disclose information that might identify the Relevant Person who has made the report unless:
- a. the Relevant Person consents in writing to the disclosure of that identifying information; or
 - b. the Integrity Officer reasonably believes that disclosure of identifying information:
 - i. is essential to enable an effective investigation; or
 - ii. is essential to prevent serious risk of harm to any person; or
 - iii. is essential having regard to the principles of natural justice.
- 13.12.10 A Relevant Person must not, by reason of having made a report about another person under this Regulation, be subject to retaliatory action by SLSNZ, and if a SLSNZ employee, must not be disadvantaged in their employment.
- 13.12.11 Subject to law, the protections in clause 13.12.10 will not apply where an investigation establishes that the person has intentionally made a false report, or made their report in bad faith.
- 13.12.12 A Relevant Person has a continuing obligation to report any new knowledge or suspicion regarding any conduct that may amount to Prohibited Conduct, even if the Relevant Person's prior knowledge or suspicion has already been reported.

13.13 Investigation

13.13.1 Responsibilities of Integrity Officer

- a. The Integrity Officer is responsible for investigating any alleged breach of this Regulation. Subject to clauses 13.12.3 – 13.12.6, any allegation or suspicion of a breach of this Regulation, whatever the source, shall be referred to the Integrity Officer.
- b. Following receipt of a report or other information, the Integrity Officer must do one of the following:
 - i. refer to the New Zealand Police (the Crime Regulation & Project Officer, National Criminal Investigations Group, Police National Headquarters, 180 Molesworth Street, P O Box 3017, Wellington, phone (04) 474 9499), any report or information involving conduct which may be a criminal offence, inform the Chief Executive Officer and Board of SLSNZ and the Chief Executive Officer of Sport NZ of this referral and if considered appropriate, after taking into account any advice as to the next steps from the New Zealand Police, decide to investigate; or
 - ii. decide not to investigate (for example, but not limited to, where the report or information is frivolous or where the conduct complained of would not amount to a breach of this Regulation or any relevant law); or
 - iii. decide to investigate.
- c. If the Integrity Officer decides not to investigate, the Integrity Officer must provide a written report of the conduct complained of, the decision and reasons for the decision to the Integrity Review Officer and, apart from reporting under clause 13.12.7, no further action need be taken by the Integrity Officer unless the Integrity Review Officer decides otherwise.

13.13.2 Investigation by Integrity Officer

- a. The Integrity Officer must investigate the activities of any Relevant Person who the Integrity Officer believes may have committed a breach of this Regulation or where the Integrity Review Officer decides that the Integrity Officer must investigate.
- b. Investigations may be conducted in conjunction with, and information obtained in investigations may be shared with International Life Saving Federation and/or other national Surf Life Saving organisations internationally, Sport New Zealand and/or other relevant authorities (including criminal justice, administrative, professional and/or judicial authorities) but subject to any applicable laws in New Zealand.
- c. The Integrity Officer may collect information relevant to the investigation. The collection of information will be subject to the privacy policies (if any) of SLSNZ and to applicable New Zealand law (such as the Privacy Act 1993). The Integrity Officer may:
 - i. only access personal information to which consent has been provided under this Regulation (and any applicable privacy Regulation) or is otherwise able to be lawfully collected under applicable legislation
 - ii. make a request of the Relevant Person to provide any other information

- iii. request the Relevant Person to make a written statement setting out in detail all of the facts and circumstances of which the Relevant Person is aware regarding the alleged breach.
- d. Nothing in this Regulation shall require a Relevant Person to surrender any rights under New Zealand law or in any applicable agreement between SLSNZ and the Relevant Person that they have not otherwise agreed to surrender pursuant to this Regulation.
- e. Any Integrity Officer investigation must be undertaken in accordance with any relevant provisions in any applicable agreement between SLSNZ and the Relevant Person. In particular, the Integrity Officer will:
 - i. provide the Relevant Person with information in their possession relevant to the investigation, subject to any applicable legal obligations
 - ii. allow the Relevant Person an appropriate opportunity to consider and respond to that information.
- f. Subject to clauses 13.13.2d. and e., Relevant Persons shall cooperate fully with, and shall provide all reasonable help to, the Integrity Officer in any investigation. A failure to co-operate may, depending on the circumstances, constitute an offence under this Regulation.
- g. As overriding principles:
 - i. if at any time during an investigation the Integrity Officer becomes aware of any matter which may constitute criminal wrongdoing, the Integrity Officer must immediately refer any relevant information to the New Zealand Police (the Crime Regulation & Project Officer, National Criminal Investigations Group, Police National Headquarters, 180 Molesworth Street, P O Box 3017, Wellington, phone (04) 474 9499) and take direction from that agency about the way in which the Integrity Officer should continue to proceed
 - ii. provided always that the Integrity Officer must comply with all relevant obligations under this Regulation, any investigation must be concluded promptly and efficiently

13.13.3 Completion of Investigation/Submissions to Integrity Review Officer

- a. Following completion of the investigation, the Integrity Officer must provide all relevant material obtained in the investigation, the Integrity Officer's written report of the investigation and recommendations as to how the complaint shall be dealt with, to the Integrity Review Officer and to the Relevant Person who is being investigated (the Respondent).
- b. Not later than 14 days after receipt of the material, report and recommendations from the Integrity Officer, the Respondent may provide to both the Integrity Officer and to the Integrity Review Officer any additional material that they believe is relevant and their written submission regarding the complaint.

13.14 Integrity Review Officer Role and Process / Appeal Against Decision of Integrity Review Officer

13.14.1 Integrity Review Officer Decision

- a. The Integrity Review Officer must, as set out in Appendix A, review and make decisions regarding material submitted to the Integrity Review Officer

13.14.2 Appeal against Integrity Review Officer Decision

- a. The Respondent (Relevant Person investigated) has a right of appeal against a decision of the Integrity Review Officer that an offence under this Regulation has been established for which a sanction of a warning and reprimand only is imposed.
- b. Any appeals shall be heard by the Integrity Tribunal as a full hearing, where the Integrity Tribunal will hear all the evidence and make its own decision.
- c. Further provisions in relation to the appeal and the appeal process are set out in Appendix B.
- d. To avoid doubt, the Integrity Officer/ SLSNZ does not have a right of appeal against a decision of the Integrity Review Officer.

13.15 When Integrity Officer Must Refer Matter to Integrity Tribunal

13.15.1 Integrity Officer must refer the matter to the Integrity Tribunal

- a. Where the Integrity Review Officer has notified the Integrity Officer that:
 - i. there is sufficient evidence supporting that a Relevant Person has committed a corruption offence as listed in clause 13.6.2 or a further offence under clause 13.6.6 relating to Prohibited Conduct in clause 13.6.2; or
 - ii. there is sufficient evidence supporting that a Relevant Person has committed a non-corruption offence under clauses 13.6.3 – 13.6.7 and the offending is serious enough to warrant a sanction other than a warning and reprimand only;the Integrity Officer must refer the matter to the Integrity Tribunal as set out below.
- b. The Integrity Officer shall send to the Integrity Tribunal and Relevant Person alleged to have committed an offence, written notice (“the Notice of Charge”) of the following:
 - i. that the Relevant Person is alleged to have committed an offence, and has a case to answer, under clause 13.6;
 - ii. the specific offence(s) that the Relevant Person is alleged to have committed;
 - iii. details of the alleged acts and/or omissions relied upon in supporting the allegation that the Relevant Person has committed an offence(s);

- iv. the range of sanctions applicable under this Regulation if it is established that the Relevant Person has committed the alleged offence(s);
- v. in the case of an alleged offence other than a corruption offence under clause 13.6.2 or a further offence under clause 13.6.6 relating to Prohibited Conduct in clause 13.6.2, that the Integrity Review Officer considers that the level of offending is serious enough to justify a sanction other than a warning and reprimand only
- vi. information concerning the Relevant Person's rights and the procedure for the Relevant Person to respond to the Notice of Charge (as set out in Appendix C: C2).

13.15.2 **Application for Provisional Suspension**

- a. Where the Integrity Officer files a Notice of Charge against a Relevant Person with the Integrity Tribunal, the Integrity Officer may at the same time, or at a later date, and must, if required to do so by the Integrity Review Officer, also apply to the Integrity Tribunal for the Provisional Suspension of the Relevant Person until the Integrity Tribunal is able to determine the matter.
- b. Where the Integrity Officer applies for Provisional Suspension, they must copy the Provisional Suspension application to the Relevant Person and those persons referred to in A6a.iii., iv. or v. of Appendix A (as applicable).

13.16 **Disciplinary Procedure and Process – Integrity Tribunal**

- 13.16.1 Matters referred to the SLSNZ Integrity Tribunal by the Integrity Officer or on appeal of a decision of the Integrity Review Officer shall be heard and decided in accordance with the Disciplinary Procedure and Process set out in Appendix C.

13.17 **Sanctions**

13.17.1 **Sanction of a warning and reprimand imposed by the Integrity Review Officer**

- a. Where the Integrity Review Officer imposes a sanction under Appendix A, it must be a sanction of a warning and reprimand only.

13.17.2 **Sanction imposed by Integrity Tribunal**

- a. Where in relation to matters referred to the Integrity Tribunal:
 - i. the Relevant Person admits they have committed the offence(s); or
 - ii. the Integrity Tribunal determines a Relevant Person has committed the offence(s);

the Integrity Tribunal must impose an appropriate sanction on the Relevant Person for the particular offence(s) from the column headed "Range of Sanctions for Each Offence" in the Table of Sanctions; and

the Integrity Tribunal may, in its discretion, but is not required to, impose additional sanctions from the column headed "Additional Sanctions the Integrity Tribunal may Impose" in the Table of Sanctions;

- b. To determine the appropriate sanction applicable in each case, the Integrity Tribunal must first determine the relative seriousness of the offending;
- c. In determining the relative seriousness of the offending, the Integrity Tribunal shall take into account aggravating and mitigating factors and shall identify which (if any) of those factors apply in this case;
- d. The Integrity Tribunal shall detail the effect of the relevant aggravating and mitigating factors on the final sanction in its written decision;.
- e. The “Lower range of sanctions” in the Table of Sanctions apply only if the requirements of clause 13.11.3 are satisfied. To avoid doubt, the mitigating factors referred to in clause 13.11.3 may be raised as mitigating factors but cannot be used to access the “Lower range of sanctions” unless clause 13.11.3 is satisfied.

13.17.3 **Aggravating Factors**

- a. In determining the relative seriousness of the offending in each case, the Integrity Review Officer or the Integrity Tribunal shall identify and take into account which, if any, of the following aggravating factors apply:
 - i. the Relevant Person has a high degree of fault in relation to the offence(s);
 - ii. a lack or low level of genuine remorse on the part of the Relevant Person;
 - iii. the Relevant Person has previously been found guilty of an offence under this Regulation or any predecessor of this Regulation;
 - iv. the Relevant Person has previously been found guilty of any Match-Fixing or similar offences and/or breaches under any laws, policies or regulations of any other body;
 - v. the amount of any Benefit, or Reward, directly or indirectly received by the Relevant Person as a result of the offence(s), is substantial and/or where the sums of money otherwise involved in the offence(s) are substantial;
 - vi. the offence substantially damaged (or had the potential to damage substantially) the reputation of, the commercial value of and/or public interest in the relevant Surf Life Saving Competition(s);
 - vii. the offence affected (or had the potential to affect) the result of the relevant Surf Life Saving Competition(s);
 - viii. the welfare of any other person has been endangered as a result of the offence;
 - viv. the offence involved the Relevant Person recruiting, involving or using, or acting with more than one Relevant Person or other persons;
 - vv. the Relevant Person has not fully co-operated with any investigation and/or the process (regardless of whether this may amount to a separate offence under this Regulation);

vii, any other aggravating factor(s) that the Integrity Review Officer or Integrity Tribunal considers relevant and appropriate.

13.17.4 Mitigating Factors

- a. In determining the relative seriousness of the offending in each case, the Integrity Review Officer or Integrity Tribunal shall identify and take into account which, if any, of the following mitigating factors apply:
- i. the Relevant Person has a low degree of fault regarding the offence(s);
 - ii. the Relevant Person has fully co-operated with any investigation and/or the associated process;
 - iii. an admission of guilt and/or genuine remorse by the Relevant Person (the mitigating value of which may depend on its timing);
 - iv. the youth and/or lack of experience of the Relevant Person;
 - v. the offence did not substantially damage (or did not have the potential to substantially damage) the reputation of, commercial value of and/or public interest in the relevant Surf Life Saving Competition(s);
 - vi. the offence did not affect (or did not have the potential to affect) the result of the relevant Surf Life Saving Competition(s);
 - vii. the Relevant Person provides substantial help to any of the following:
 1. the Integrity Officer;
 2. SLSNZ;
 3. another Surf Life Saving national federation;
 4. International Life Saving Federation;
 5. a law enforcement agency;
 6. a professional disciplinary body;that results in any of the following:
 7. the discovery or establishment of an offence under this Regulation committed by another Relevant Person;
 8. the discovery or establishment of an anti-Match-Fixing or other anti-corruption offence under the laws, rules, regulations or policies of another Surf Life Saving organisation or International Life Saving Federation committed by another Relevant Person or other third party;
 9. the discovery or establishment of a potential or actual criminal offence or the potential or actual breach of professional rules committed by another Relevant Person or a third party;
 - viii. the Relevant Person has already suffered penalties under other laws and/or regulations for the same offence;
 - ix. the Relevant Person has received no benefit;

- x. the diminished mental capacity of the Relevant Person;
- xi. the Relevant Person has been publicly identified prior to an offence being determined, whether by lack of due care by SLSNZ or another reason;
- xii. regarding an Attempt or an agreement which is a further offence under clause 13.6.6c., the Relevant Person ceases and/or rejects the Attempt or agreement prior to it being discovered by a third party not involved in the Attempt or agreement;
- xiii. the alleged offence was committed (and/or that it was not reported to SLSNZ) due to the Relevant Person's honest and reasonable belief that there was a serious and imminent threat to their life or safety or to the life or safety of any other person;
- xiv. any other mitigating factor(s) that the Integrity Review Officer or Integrity Tribunal considers relevant and appropriate.

Table of Sanctions

Offence	Range of Sanctions for Each Offence	Additional Sanctions the Integrity Tribunal may Impose
<p>Corruption: clauses 13.6.2a, 13.6.3b, 13.6.2c</p>	<p>Minimum: Two (2) years suspension Maximum: Lifetime suspension Lower range of sanctions: from a warning and reprimand up to a maximum of two (2) years suspension - see clause 13.17.2e</p>	<p>AND IN ALL CASES:</p> <p>The Integrity Tribunal shall have the discretion to additionally impose:</p> <p>(a) a fine on the Relevant Person up to a maximum of the value of any Benefit or Reward received by the Relevant Person directly or indirectly, out of, or in relation to, the offence committed under this Regulation – paid to SLSNZ or other recipient as determined by the Integrity Tribunal;</p> <p>and/or</p> <p>(b) appropriate further options including without limitation the cancellation of sports results / competitions, demotion, points reduction, return of Benefits or Rewards, replay of fixtures (for example in cases of match official corruption) where risk of fraud has been established or identified, withdrawal of accreditation, exclusion from competition venues and/or official athlete environs, as appropriate;</p> <p>and/or</p> <p>(c) an order that the Relevant Person pay an amount of costs to SLSNZ</p>
<p>Betting: clause 13.6.3</p>	<p>Minimum: Warning and reprimand Maximum: Two (2) years suspension</p>	
<p>Misusing Inside Information: clauses 13.6.4a, 13.6.4b</p>	<p>Minimum: Warning and reprimand Maximum: Five (5) years suspension</p>	
<p>General Offences: clauses 13.6.5a, 13.6.5b, 13.6.5c</p>	<p>Minimum: Warning and reprimand Maximum: Five (5) years suspension</p>	
<p>Further Offences Relating to Prohibited Conduct in clauses 13.6.2 – 13.6.5: clauses 13.6.6a, 13.6.6b, 13.6.6c, 13.6.6d</p>	<p>The sanctions range will be the same as set out in this table for the particular relevant primary offence in clauses 13.6.2-13.6.5 Lower range of sanctions: from a warning and reprimand up to a maximum of two (2) years suspension - see clause 14.2e</p>	
<p>Failure to Disclose or Cooperate: clauses 13.6.7a, 13.6.7b</p>	<p>Minimum: Warning and reprimand Maximum: Two (2) years suspension</p>	

13.17.5 Further Provisions regarding Sanctions

To avoid doubt:

- a. The Integrity Tribunal may suspend any sanction for a period of time. The Integrity Tribunal may also stipulate any conditions to relate to the suspended sanction.
- b. Where a Relevant Person is found guilty of committing two breaches under this Regulation relating to the same incident or set of facts and sanctioned separately, then any sanctions imposed should run concurrently (and not cumulatively).
- c. Where a fine and/or costs award is imposed against a Relevant Person, then such fine or costs award must be paid within a reasonable time period specified by the Integrity Tribunal. SLSNZ will consider any request from the Relevant Person, on the grounds of hardship, to make the payment of any fine and/or costs award imposed over a period of time, which may involve instalment payments.
- d. If a fine or costs award (or agreed part payment or instalment of) is not paid by the date set down by the Integrity Tribunal, or within such deadline or by the time of the next agreed payment date then, unless SLSNZ agrees otherwise, the Relevant Person will be suspended until such payment or part payment is made. SLSNZ may also seek to recover the fine by recovery/enforcement action.
- e. Any Suspension imposed on a Relevant Person starts on the date that the decision imposing the Suspension is issued:
 - i. provided any period of Provisional Suspension served by the Relevant Person shall be credited against the total period of Suspension to be served; or
 - ii. unless otherwise indicated in the written decision.
- f. A Relevant Person who is suspended is prohibited, during the period of Suspension, from playing, training as part of a team or a squad, officiating, coaching, selecting, administering and/or otherwise participating or being involved in any capacity in any Surf Life Saving Competition, function or other activity (other than authorised anti-corruption education or rehabilitation programmes) that is authorised, organised, sanctioned, recognised or supported in any way by SLSNZ or any Club.
- g. The International Life Saving Federation, other international sporting federations, national Surf Life Saving organisations in other jurisdictions, other New Zealand-based national sport organisations, or national sport organisations of other sports in other international jurisdictions, will be entitled to give effect to and enforce the above Suspension in their respective jurisdictions regarding other sports, as if the Suspension related to that sport.

- h. A Relevant Person who is subject to a Suspension shall remain subject to this Regulation during the Suspension period. If the Relevant Person is alleged to have committed an offence under this Regulation during that period, this shall be treated as a separate matter, and separate proceedings will be brought, under this Regulation.
- i. Once any period of Suspension has expired, the Relevant Person will automatically become re-eligible to participate fully again in Surf Life Saving Competitions, functions or activities authorised, organised, sanctioned, recognised or supported in any way by SLSNZ or member organisations of SLSNZ provided that the Relevant Person has:
 - i. completed a SLSNZ approved anti-Match-Fixing or other anti-corruption programme to the reasonable satisfaction of the Integrity Officer
 - ii. satisfied in full, any fine and/or costs award made against the Relevant Person regarding an offence under this Regulation, by the Integrity Tribunal, or the Sports Tribunal or the Court of Arbitration for Sport, or has complied and continues to comply with any payment instalment plan agreed or imposed
 - iii. agreed to be subject to such additional reasonable and proportionate monitoring procedures and requirements as the Integrity Officer may reasonably consider necessary given the scope of the offence committed.
- j. Where a sanction is determined under this Regulation, with the exception of any right to appeal or right to take any other legal avenues, no further action can be taken regarding the matters investigated and sanctioned.

13.18 Appeal Against Decision of Integrity Tribunal

- 13.18.1 In certain circumstances, a decision of the Integrity Tribunal may be appealed to the Sports Tribunal. This process is set out in Appendix D.

13.19 Public Disclosure and Confidentiality

- 13.19.1 of SLSNZ, the Integrity Officer, Integrity Review Officer, Integrity Tribunal, Relevant Persons and any other Parties to proceedings under this Regulation:
- a. shall keep all proceedings under this Regulation confidential
 - b. shall make all efforts within their power to ensure that their family members/associates shall keep all proceedings under this Regulation confidential.

- 13.19.2 SLSNZ shall not publicly identify any Relevant Person who is alleged to have committed an offence under this Regulation unless and until it has been determined by the Integrity Review Officer or Integrity Tribunal that an offence has been committed, or as allowed under clause 13.19.2 b below.
- a. If the decision is that an offence has been committed:
 - i. for which a sanction of a warning and reprimand only has been imposed, SLSNZ has a discretion to publicly report the decision
 - ii. for which a sanction other than a warning and reprimand only has been imposed SLSNZ must publicly report the decision
 - iii. posting the decision on the SLSNZ website is sufficient to publicly report the decision, provided that in each case SLSNZ must, prior to posting, notify the Chief Executive of Sport New Zealand of the date upon which the posting on the website will be made, if the decision is not appealed
 - iv. public reporting must not occur until any appeal period has lapsed without the decision being appealed and must then occur promptly regarding clause 13.19.2a.ii above.
 - b. If the Integrity Review Officer or Integrity Tribunal determines that no offence has been committed by the Relevant Person, then the decision may be publicly reported only with the consent of the Relevant Person who is the subject of the decision. SLSNZ shall use reasonable efforts to obtain such consent, and if consent is obtained, shall publicly disclose the decision in its entirety or in such redacted form as the Relevant Person may approve.
 - c. SLSNZ shall use its best endeavours to ensure that persons under its control do not publicly identify any Relevant Persons who are alleged to have committed an offence under this Regulation, unless and until the Integrity Review Officer or Integrity Tribunal has determined that an offence has been committed, or as allowed under clause 13.19.2 b. above.
 - d. However, SLSNZ in its discretion may at any time disclose to other organisations such information as SLSNZ may consider necessary or appropriate to facilitate the administration or enforcement of this Regulation, provided that each organisation provides assurance satisfactory to SLSNZ the organisation will maintain all such information in confidence.
 - e. SLSNZ will not comment publicly on the specific facts of a pending case even if public comments are made by (or on behalf of) the Relevant Person involved in the case or their representatives unless SLSNZ considers that, in the circumstances, the reasons for public comment outweigh the reasons for not making public comment.

13.20 Recognition of Decision

13.20.1 Decisions and sanctions of:

- a. the International Life Saving Federation;
- b. international federations of other sports;
- c. other national Surf Life Saving organisations in other international jurisdictions;
- d. other national sport organisations of other sports in New Zealand;
- e. national sport organisations of other sports in other international jurisdictions;

that are based on the same or similar anti Match-Fixing rules or anti-corruption rules shall be recognised, respected and enforced by SLSNZ automatically upon receipt of notice of the same, without the need for further formality.

13.21 Protection and Support Mechanisms

13.21.1 SLSNZ will have protection and support mechanisms in place for Relevant Persons who may come in contact with, or be involved in, Match-Fixing activity or to limit risky situations where this might occur. Protection and support mechanisms established may include those listed below and SLSNZ will ensure Relevant Persons are advised of these where in place.

13.21.2 Protection mechanisms

Protection mechanisms that SLSNZ may establish at its discretion include, but are not limited to:

- a. monitoring Betting accounts of Relevant Persons;
 - b. monitoring Betting activity on any Competition Under the Jurisdiction of, or Connected to, SLSNZ;
 - c. security measures restricting access to participants from non-essential personnel³ at Surf Life Saving Competitions;
 - d. limiting or prohibiting participants' use of electronic communication devices (phones, computers, tablets etc.) in/at restricted areas/times during Surf Life Saving Competition(s);
 - e. assigning sports officials (especially referees and judges) within as short a period before a Surf Life Saving Competition begins as possible;
 - f. regular scrutiny of referees' and judges' field decisions;
 - g. paying particular attention to measures to safeguard athletes who are minors or at Surf Life Saving Competitions predominantly involving minors.
-

13.21.3 Support mechanisms

Support mechanisms that SLSNZ may enact at its discretion include, but are not limited to:

- a. having confidential and accessible reporting processes and a 'whistle blower' Regulation in place to support people coming forward with any relevant information;
- b. publicising the contact details (eg name, phone number and email address) of the SLSNZ Integrity Officer whom anyone connected with SLSNZ can contact regarding any Match-Fixing related matters, who can assess the situation and offer sound advice, protecting confidentiality where appropriate;
- c. pre-determining procedures to keep Relevant Persons safe, who have been approached to engage in Match-Fixing;
- d. encouraging athletes to seek support from their athlete associations.

13.22 Sports Betting Agreements

- 13.22.1 Under the Racing Act 2003, the New Zealand Racing Board (NZRB) must establish a sports Betting agreement with SLSNZ before the NZRB can offer Betting on 'Authorised Events' agreed to by SLSNZ. Under the Act, the NZRB must also provide a percentage of sports Betting revenue to SLSNZ.
- 13.22.2 To comply with the requirements of the NZRB's model agreement, SLSNZ will at a minimum have rules relating to Betting in place, which, in particular, must prevent a 'Relevant Person' as defined in the Betting clauses pursuant to the Racing Act, who participates in an Authorised Event, from Betting on that Authorised Event.
- 13.22.3 SLSNZ will use its Betting agreement to further manage Match-Fixing risks by working with the NZRB to identify Bet types which will be jointly reviewed by SLSNZ and NZRB regularly, over the term of the Betting agreement.
- 13.22.4 In particular, SLSNZ should consider using its Betting agreement to:
 - a. restrict or prohibit 'spot' Bets (ie Bets on particular actions within races or events which are more easily manipulated than, for example, overall Surf Life Saving Competition results);
 - b. agree with the NZRB that maximum wager amounts are capped at modest levels for the types of Bets or formulae for which associated actions are most easily manipulated, such as 'spot' Bets, if permitted;
 - c. restrict other types of Bets which may have higher risks regarding Match-Fixing, such as Bets on minors or in competitions predominantly involving minors, depending on the circumstances.
- 13.22.5 Subject to any legal requirements preventing this, SLSNZ's Betting agreements will also include agreed protocols for timely information and intelligence sharing between SLSNZ and the NZRB to support preventative and investigative measures. Alternatively, separate information sharing agreements may be established.

13.23 Information Sharing and Collaboration

- 13.23.1 Subject to any legal restrictions, or those relating to confidentiality or privacy, SLSNZ will provide and exchange information, and collaborate regarding any Match-Fixing related matters, via protocols to be agreed, with Sport New Zealand and law enforcement or other relevant agencies including the Serious Fraud Office, New Zealand Police, the Organised and Financial Crime Agency New Zealand, the NZRB and the Department of Internal Affairs or relevant overseas agencies.

Schedule 1 - List of Relevant Persons

This schedule lists below the “Relevant Persons” to whom this Regulation applies:

- a. athletes (including athletes in teams) who are registered to compete in any Surf Life Saving Competition (including reserves);
- b. coaches (including assistant coaches) of any athletes/teams described in (a),
- c. event officials (including referees, marshalls, scrutineers, technical officials and others) who are or have been engaged by SLSNZ or other person on SLSNZ behalf for a Surf Life Saving Competition
- d. athlete support personnel who participate, or are involved, or have participated or been involved in, a Surf Life Saving Competition including but not limited to managers, parents, medical/paramedical personnel or other personnel working with, treating or assisting any athlete participating in or preparing for a Surf Life Saving Competition
- e. SLSNZ Board members, members of other committees of SLSNZ, members of Club committees
- f. other office holders of SLSNZ and Clubs, including President, chairperson, selectors, Club captain, judicial or disciplinary committee members
- g. employees and/or independent contractors of SLSNZ and Clubs
- h. other individuals who are members of a Club and/or SLSNZ

APPENDIX A: Integrity Review Officer Role and Process

A1. The Integrity Review Officer must review and decide the action to be taken (if any) regarding:

- a. a report, decision and reasons received from the Integrity Officer under clause 13.13.1c.; or
- b. the material, report, recommendations and submissions (if any) received from the Integrity Officer and the Respondent under clause 13.13.3.

A2. If Integrity Review Officer suspects criminal offending

- a. If, following their review under A1 of this Appendix A, the Integrity Review Officer considers there may be a criminal offence, they must require the Integrity Officer to refer the report or information to the designated officer in the New Zealand Police (see clause 13.6.11), for the Integrity Officer to take advice from the Police on next steps and investigate if appropriate. The Integrity Officer must also inform the Chief Executive Officer and Board of SLSNZ and the Chief Executive Officer of Sport NZ of this referral to the New Zealand Police.

A3. A decision of the Integrity Review Officer under A1a. of this Appendix A, must be either that:

- a. the report or information must be referred by the Integrity Officer to the New Zealand Police under the process outlined in A2a. of this Appendix A, to take advice on next steps from the Police and investigate if appropriate, and the Integrity Officer must also inform the Chief Executive Officer and Board of SLSNZ and the Chief Executive Officer of Sport NZ of this referral to the New Zealand Police; or
- b. the report or information is not to be investigated; or
- c. the report or information is to be investigated by the Integrity Officer

and the Integrity Review Officer must report their decision to the Integrity Officer and to such of the persons in A6a.iii. or iv. or v. (as applicable) of this Appendix A.

A4. Where no breach of Regulation or insufficient evidence, matter is at an end

- a. Where the Integrity Review Officer concludes that no breach of this Regulation has occurred, or there is insufficient evidence to support an allegation of a breach of this Regulation, the matter is at an end, except that a written report must be provided as outlined below. No further action will be taken regarding the matter, subject to any new relevant information coming to the notice of the Integrity Officer or the Integrity Review Officer.

A5. Integrity Review Officer must impose a warning and reprimand

- a. Where an offence has been established, the Integrity Review Officer must impose a sanction of a warning and reprimand only, provided that:
 - i. the offence is not a corruption offence as listed in clause 13.6.2 or a further offence under clause 13.6.6 relating to Prohibited Conduct in clause 13.6.2; and
 - ii. the offending is considered to be of such a low level of seriousness that a sanction of a warning and reprimand only is justified; and

- iii. in determining the relative seriousness of the offending, and whether a sanction of a warning and reprimand only is appropriate, the Integrity Review Officer must take into account the aggravating and mitigating factors listed in clauses 13.17.3 and 13.17.4.

A6. Integrity Review Officer must report findings

- a. Where the Integrity Review Officer decides that no breach of this Regulation has occurred, or, there is insufficient evidence to support an allegation of a breach of this Regulation or an offence has been established where a sanction of a warning and reprimand only is imposed, the Integrity Review Officer shall provide a written report of their findings and recommendations (if any) to:
 - i. the Respondent; and
 - ii. the Integrity Officer; and
 - iii. the Chief Executive Officer (providing the Chief Executive Officer is not also the Integrity Officer or the subject of the decision); or
 - iv. the Chair of the Board (where the Chief Executive Officer is also the Integrity Officer or the subject of the decision); or
 - v. the Chief Executive Officer of Sport NZ (where both the Chief Executive Officer and Chair of the Board are the subjects of the decision).
- b. Where the Integrity Review Officer decides an offence has been committed and imposes a sanction of a warning and reprimand and the appeal notice period in Appendix B has expired without an appeal being notified, their report of their findings and recommendations (if any) must be sent to the Chief Executive Officer of Sport New Zealand.

A7. Where there is evidence of a corruption offence in clause 13.6.2/13.6.6, or other serious offending, the Integrity Review Officer will require the Integrity Officer to refer the matter to the Integrity Tribunal

- a. The Integrity Review Officer must, by notification in writing to the Integrity Officer require the Integrity Officer to refer the matter to the Integrity Tribunal if, following review of the material, report, recommendations and submissions received (if any) under clause 13.3.3, the Integrity Review Officer decides that there is sufficient evidence supporting that a Relevant Person has committed:
 - i. a corruption offence as listed in clause 13.6.2 or a further offence under clause 13.6.6 relating to Prohibited Conduct in clause 13.6.2; or
 - ii. a non-corruption offence under clauses 13.6.3 – 13.6.7 and the Integrity Review Officer considers the offending is serious enough to warrant a sanction other than a warning and reprimand only.
- b. The Integrity Review Officer must forward a copy of the notification in A7a of this Appendix A to the Chief Executive Officer of Sport NZ.

A8. Provisional Suspension

- a. In addition to the requirement under A7, the Integrity Review Officer may also require the Integrity Officer to apply for Provisional Suspension of the Relevant Person until the Integrity Tribunal is able to determine the matter.

APPENDIX B: Appeal Against Decision of Integrity Review Officer

B1. Appeal Notice

- a. A Respondent's appeal under clause 13.14 is initiated by the Respondent providing to the Integrity Review Officer and to the Integrity Officer, within 14 days from the date the Respondent received the Integrity Review Officer decision containing reasons, a written notice that the decision is appealed.

B2. Integrity Review Officer refers appeal to Integrity Tribunal

- a. Upon receipt of the appeal notice, the Integrity Review Officer refers the appeal notice to the Integrity Tribunal, together with:
 - i. all of the material, written report, recommendations and submissions (if any) received under clause 13.3.3; and
 - ii. the report of the findings and recommendations (if any) referred to in A6a of Appendix A.

B3. Further provisions relating to appeal

- a. C1 - C10 (inclusive) of Appendix C shall apply with all appropriate modification as if the appeal was convened in relation to a Notice of Charge under clause 13.15.1b. and as may otherwise be required by the Integrity Tribunal.

B4. Decision being appealed remains in effect unless Integrity Tribunal decides otherwise

- a. Decisions of the Integrity Review Officer appealed to the Integrity Tribunal shall remain in effect and binding pending the Integrity Tribunal's determination of the appeal, unless the Integrity Tribunal decides otherwise.
- b. Nothing in B4a of Appendix B above prevents a Party to the appeal applying to the Integrity Tribunal to suspend the decision, or any part of the decision, of the Integrity Review Officer until the Integrity Tribunal determines the appeal.

APPENDIX C: Disciplinary Procedure and Process - Integrity Tribunal

C1. Start of Proceedings by Filing Notice of Charge

- a. Proceedings are started by the Integrity Officer referring an alleged breach of this Regulation to the Integrity Tribunal by filing a Notice of Charge and serving a copy on the Relevant Person alleged to have committed the breach.
- b. The Notice of Charge may be served by post, courier, email or facsimile or delivered in person.
- c. The Integrity Officer will provide written confirmation of both the service of the Notice of Charge on the Relevant Person (ie that the Relevant Person has received the Notice of Charge) and the date of service.
- d. If the Integrity Officer is unable to serve the Notice of Charge on the Relevant Person, the Integrity Officer may apply to the Integrity Tribunal for an order for substituted service, indicating the proposed manner of substituted service which the Integrity Officer believes will bring the Notice of Charge to the attention of the Relevant Person.

C2. Response to Notice of Charge

- a. The Relevant Person has the right to:
 - i. defend the charge(s) or any of the charges in a hearing; or
 - ii. admit the charge(s) or any of the charges but request the right to participate in a hearing to make submissions as to the appropriate sanction; or
 - iii. admit the charge(s) and advise they do not wish to participate in a hearing to make submissions as to sanction and acknowledge that the Integrity Tribunal may impose a sanction without holding a hearing.
- b. Within 14 days of the date of service of the Notice of Charge, the Relevant Person shall file in writing with the Integrity Tribunal, and copy to the Integrity Officer and to SLSNZ, their response to the Notice of Charge as set out above.
- c. If the Relevant Person does not file a response to the Notice of Charge within the 14 day period, or such extended period as may be ordered by the Integrity Tribunal, the Relevant Person will be deemed to have waived their right to participate in a hearing, although the Integrity Tribunal may, in its discretion, subsequently give the Relevant Person leave to participate.

C3. Appointment of Integrity Tribunal Hearing Panel

- a. Upon the Integrity Tribunal receiving a Notice of Charge, or at a later date, the Chairperson of the Integrity Tribunal shall appoint three members of the Integrity Tribunal to form the Integrity Tribunal panel to hear and decide the allegation.
- b. The panel of three will usually include the Chairperson. However, if the Panel does not include the Chairperson, the Chairperson will appoint one of the panel members as Chairperson of the panel.

- c. The appointed members shall be independent of the Parties to the proceedings and shall have had no prior involvement with the case.

C4. **Provisional Suspension**

- a. Where the Integrity Officer has filed an application for Provisional Suspension of the Relevant Person pursuant to clause 13.15.2, the Integrity Tribunal will consider the application urgently and will normally hold a hearing as soon as practicable to decide whether to impose a Provisional Suspension.
- b. The Integrity Tribunal will notify the Parties of the time and date of the Provisional Suspension hearing and the form it will take (eg in person or by audio or audio-visual means). The Integrity Tribunal may consult with the Parties prior to the Provisional Suspension hearing about any matters necessary to progress the application to a hearing.
- c. The Integrity Tribunal may decide to not hold a Provisional Suspension hearing if the Relevant Person agrees to accept a Provisional Suspension and if so, will promptly make an order provisionally suspending the Relevant Person.
- d. In exceptional circumstances (such as that the Relevant Person is due to participate in competition the day after the Provisional Suspension application is filed and hearing the Provisional Suspension is not possible) the Integrity Tribunal may in its discretion decide to impose a Provisional Suspension based on the material before it without holding a hearing, receiving submissions or other communication from the Relevant Person. However, in such cases the Integrity Tribunal will either hold an expedited hearing on whether the Provisional Suspension should be maintained or hold an expedited hearing in relation to the alleged offence under this Regulation, as soon as possible after the imposition of the Provisional Suspension.
- e. The Integrity Tribunal will, wherever possible, seek to hold an urgent provisional hearing (unless the Relevant Person agrees to accept a Provisional Suspension) before imposing a Provisional Suspension, but the choice of procedure to be followed will be a matter for the Integrity Tribunal in the particular circumstances.
- f. The Integrity Tribunal will adopt such procedures for any hearing regarding a Provisional Suspension (whether held before or after the imposition of a Provisional Suspension) as the Tribunal considers will provide the Parties with a fair hearing in the matter.
- g. The Integrity Tribunal may impose a Provisional Suspension where it considers that there is a prima facie case that an offence under this Regulation has been committed and that it is appropriate to impose a Provisional Suspension on the Relevant Person.
- h. A Relevant Person who is provisionally suspended is barred temporarily from participating in any Surf Life Saving Competitions or other activities associated with SLSNZ as detailed in C4i. of this Appendix C, until the Integrity Tribunal makes a final decision whether the Relevant Person has committed any offence they have been charged with under this Regulation.
- i. During the period of Provisional Suspension, a person who is provisionally suspended is prevented from playing, training as part of a team or a squad, officiating, coaching, selecting, administering and/or otherwise participating or being involved in any capacity in any Surf Life Saving Competition, function, or other activity (other than authorised anti-corruption education or rehabilitation programmes) that are authorised, organised, sanctioned, recognised or supported in any way by SLSNZ or any Clubs.

- j. The International Life Saving Federation, other international sport federations, national Surf Life Saving organisations in other jurisdictions, other New Zealand-based national sport organisations or national sport organisations of other sports in other international jurisdictions will be entitled to give effect to and enforce the above Provisional Suspension in their respective jurisdictions regarding other sports, as if the suspension related to that sport.
- k. Where a Provisional Suspension is imposed and fulfilled by the Relevant Person, they shall receive a credit for such period of Provisional Suspension against any period of Suspension that may ultimately be imposed if the Integrity Tribunal determines an offence has been committed.
- l. If a Relevant Person voluntarily agrees in writing with the Integrity Officer to accept a Provisional Suspension during the course of an investigation and thereafter refrains from competing or participating in Surf Life Saving Competitions or other activities listed in C4i of this Appendix C, then the Relevant Person shall receive a credit for such period of voluntary Provisional Suspension against any period of suspension which may ultimately be imposed.
- m. The Integrity Tribunal has discretion whether to allow credit against a period of suspension for any time period before the effective date of the Provisional Suspension or voluntary Provisional Suspension where the Relevant Person elected not to compete or was suspended by SLSNZ.

C5. Preliminary Matters and Pre-Hearing Conferences

- a. The Chairperson and/or the Integrity Tribunal Panel may hear and determine any preliminary matters that arise.
- b. The Integrity Tribunal will normally convene a pre-hearing conference with the Parties which will usually be held within seven days of the Relevant Person filing their response to the Notice of Charge.
- c. The pre-hearing conference may be conducted in person or by audio or audio-visual means.
- d. There may be more than one pre-hearing conference held as necessary.
- e. The purpose of the pre-hearing conference will be to discuss and decide procedural matters to progress the case towards a hearing.
- f. During the pre-hearing conference the Integrity Tribunal may fix the date of the hearing, decide the type of hearing (which may be in person or by audio or audio-visual means), the venue for the hearing, give any procedural or evidential direction that may be appropriate (including timetables for filing any evidence, witness statements or submissions) and make such other orders as necessary to facilitate the prompt and just expedition of the matter.
- g. At the discretion of the Integrity Tribunal and with the consent of the parties, a pre-hearing conference may become the hearing.

C6. Hearings

- a. The purpose of the hearing shall be:
 - i. to determine whether the Integrity Tribunal considers that the Relevant Person has engaged in the Prohibited Conduct alleged in the Notice of Charge and therefore committed an offence under this Regulation;
 - ii. to impose sanctions in accordance with this Regulation if the Integrity Tribunal determines, or the Relevant Person admits, that an offence under this Regulation has been committed.
- b. The Integrity Tribunal Panel may conduct the hearing as it sees fit and shall not be bound by rules of evidence or unnecessary formality.
- c. However, the Integrity Tribunal Panel must conduct the hearing and determine matters in accordance with the principles of natural justice and procedural fairness. This includes, but is not limited to, the Integrity Tribunal:
 - i. conducting the hearing in a manner that is fair and appropriate to the circumstances;
 - ii. providing the Parties adequate notification of the date, time, and place of the hearing;
 - iii. ensuring adequate notification of the allegations against the Relevant Person has been provided to the Relevant Person;
 - iv. ensuring the Relevant Person and their representatives, the Integrity Officer and/or SLSNZ is given an adequate opportunity to be heard and to prepare and present their case;
 - v. ensuring the Relevant Person is provided with and given proper opportunity to consider, challenge or contradict any evidence that is relied upon to support allegations against them and that the Relevant Person is also fully aware of the nature of these allegations;
 - vi. having a lack of bias;
 - vii. not acting outside its powers or jurisdiction;
 - viii. inquiring into the matters in dispute;
 - viv. making a decision supported by the evidence;
 - vv. not imposing a penalty that is either excessive or inappropriate.
- d. The Relevant Person who is alleged to have committed the offence, the Integrity Officer and/or SLSNZ:
 - i. may call and question witnesses;
 - ii. has the right to address the Integrity Tribunal Panel to make their case;
 - iii. is permitted to provide written submissions for consideration by the Integrity Tribunal Panel (instead of or as well as appearing in person) and if the Relevant Person and the Integrity Officer and/or SLSNZ provide any written submissions, the Integrity Tribunal Panel must consider those submissions in its deliberations.

- e. The hearing shall be inquisitorial in nature, with cross examination allowed, and the Integrity Tribunal Panel may call such evidence as it thinks fit in its discretion and all Relevant Persons subject to this Regulation must, if requested to do so by the Integrity Tribunal Panel, provide such evidence as they are able.
- f. The Integrity Tribunal may make such inferences and draw such conclusions as it considers appropriate from the failure of, or refusal by, a Relevant Person or the Integrity Officer and/or SLSNZ to comply with a request to provide evidence to it.
- g. Where two or more Relevant Persons are alleged to have committed offences under this Regulation, they may be dealt with at the same hearing where the proceedings arise out of the same incident or where there is a clear link between separate incidents.

C7. Representation and support persons

- a. The Parties may be represented or assisted in the proceedings by a person of their choice and at their own cost.
- b. Parties are not required to have representation.
- c. Each party is also entitled to have a support person if they wish.

C8. Privacy and confidentiality

- a. Except as otherwise stated in this Regulation, proceedings brought under this Regulation are to be treated as private and confidential until a final decision is issued.
- b. The hearing will be closed to the public unless the Integrity Tribunal considers that there are exceptional circumstances to make a public hearing appropriate or the parties so agree. In the usual circumstances only persons with a legitimate interest in the hearing will be permitted to attend and this will be at the sole discretion of the Integrity Tribunal Hearing panel.

C9. Privilege

- a. Regardless of anything else in this Regulation, a Relevant Person who is interviewed under suspicion, charged or arrested by a law enforcement agency regarding a criminal offence that is, or may be considered to be conduct that is Prohibited Conduct, under this Regulation, shall not be required to produce any information, give any evidence or make any statement to the Integrity Tribunal if they establish that to do so would breach any privilege against self-incrimination or legal professional privilege.
- b. C9a. of this Appendix C does not limit the Integrity Tribunal from enforcing any other applicable rules and regulations.

C10. Decisions of the Integrity Tribunal

- a. A decision of the Integrity Tribunal shall be made by a majority decision of the Integrity Tribunal Panel hearing the proceeding.
- b. The Integrity Tribunal may in its discretion give an oral decision but, regardless of whether it gives an oral decision or not, in every proceeding the Integrity Tribunal will as soon as practicable issue a written decision containing reasons for its decision.

- c. The written decision will set out and explain:
 - i. with reasons, the Integrity Tribunal's findings as to whether the Relevant Person committed any offence(s) under this Regulation;
 - ii. with reasons, the Integrity Tribunal's findings as to what sanctions, if any, are to be imposed on the Relevant Person if an offence has been committed;
 - iii. with reasons, the date upon which any period of suspension that is imposed shall start;
 - iv. any rights of appeal available to the Parties.
- d. The written decision containing reasons shall be provided to the Parties and/or to representatives of the Parties (if any) and to the Chief Executive Officer of Sport New Zealand.
- e. Subject only to any rights of appeal the decision of the Integrity Tribunal shall be the full, final and complete determination of the matter and shall be binding on the Parties.

APPENDIX D: Appeal Against Decision of Integrity Tribunal

D1. Appeal to the Sports Tribunal of New Zealand

- a. Parties to a proceeding may appeal the decision of the Integrity Tribunal to the Sports Tribunal as set out in this Appendix D and in accordance with the rules of the Sports Tribunal.

D2. Decisions that may be appealed

- a. Decisions of the Integrity Tribunal that may be appealed to the Sports Tribunal are:
 - i. a decision to impose or to remove a Provisional Suspension;
 - ii. a decision that a charge of an offence under this Regulation should be dismissed for procedural or jurisdictional reasons;
 - iii. a decision that an offence under this Regulation has, or has not, been committed;
 - iv. a decision to impose, or not impose sanctions, including the appropriateness of any sanction imposed for an offence under this Regulation.

D3. Grounds of Appeal

- a. The grounds for appealing a decision of the Integrity Tribunal to the Sports Tribunal shall only be one or more of the following grounds:
 - i. the decision of the Integrity Tribunal is wrong having regard to the application of this Regulation;
 - ii. natural justice has been denied;
 - iii. the Integrity Tribunal, or other relevant decision-maker or decision making body of SLSNZ, acted outside its powers and/or jurisdiction (ie acted ultra vires);
 - iv. substantial new evidence became available, which could not be located prior to the original hearing, after the decision which is being appealed was made;
 - v. the sanction imposed was either excessive or inappropriate.

D4. Decisions being appealed remain in effect unless the Sports Tribunal decides otherwise

- a. Decisions of the Integrity Tribunal being appealed to the Sports Tribunal shall remain in effect and binding pending the Sports Tribunal's determination of the appeal, unless the Sports Tribunal decides otherwise.
- b. Nothing in D4a. of Appendix D above prevents a Party to the proceeding applying to the Sports Tribunal to suspend the decision, or any part of the decision, of the Integrity Tribunal until the Sports Tribunal determines the appeal.

D5. Time period for filing appeals to the Sports Tribunal

- a. Appeals against a decision of the Integrity Tribunal must be filed within 28 days from the date the appealing Party received the written decision containing reasons for the decision of the Integrity Tribunal.

D6. Applicable rules and governing law regarding appeals

- a. The clauses of the Sports Tribunal in force at the time shall apply, except to the extent they are inconsistent with any provision in this Appendix D dealing with appeals.
- b. The governing law shall be New Zealand law.

D7. Appeal of a Sports Tribunal decision

- a. The decision of the Sports Tribunal shall be final and binding and shall not be questioned in any court of law otherwise than in accordance with D7b. of this Appendix D below.
- b. A Party may appeal a decision of the Sports Tribunal to the Court of Arbitration for Sport (CAS) within 15 working days of the written decision of the Sports Tribunal.
- c. An appeal will not operate as a stay of proceedings of the Sports Tribunal decision unless the Sports Tribunal or CAS so orders.

APPENDIX F: Flow Diagram of Key Processes in this Policy

Reporting – Rule 9

A person reports a suspected breach of the Policy to the Integrity Officer (IO)

Within 48 hours IO records the report in a match-fixing incidents register and copies to the NSO CEO

IO Investigation - Rules 10.1-10.2

IO MUST:

If conduct could be a crime:

OR

Decide not to investigate:

OR

Investigate

Refer report/other information to NZ Police, take advice and investigate if appropriate

If an IRO referral and no investigation or no further investigation by IO

Send a report to the Integrity Review Officer (IRO) & CEO – end of matter unless IRO requires IO to investigate

IO Investigation Is Complete – Rule 10.3

IO sends relevant material, investigation report and recommendations to IRO and Respondent (Relevant Person being investigated)

Within 14 days Respondent may send additional material and a written submission about the complaint to IO and IRO

IRO Decides – Rule 11 & Appendix A

IRO REVIEWS ALL MATERIAL AND DECIDES:

If conduct could be a crime IRO requires IO to refer to NZ Police

No breach/insufficient evidence – end of matter unless new information arises – report made

Offence established which is not a corruption offence (3.2/3.6) AND not serious offending

Warning and reprimand imposed

IRO reports to Respondent, IO, CEO of NSO

Evidence of a corruption offence in Rule 3.2/3.6 or other serious offending

IRO requires IO to refer matter to the Integrity Tribunal (IT)

IRO sends copy to CEO of Sport NZ

If no appeal, IRO sends report to CEO of Sport NZ

Respondent has right of appeal within 14 days (Appendix B) – IRO forwards appeal to Integrity Tribunal (IT)

IO sends Notice of Charge to IT and may apply for Provisional Suspension

Integrity Tribunal & Appeals - Rules 13, 15, & Appendices C & D

IT conducts hearing of matter/appeal, decides and imposes sanctions – Appendix C

Appeal to Sports Tribunal – Appendix D

Appeal to Court of Arbitration for Sport – Appendix D